



CALL FOR EXPRESSIONS OF INTEREST

**TO PREPARE A REGISTER OF EXTERNAL ASSOCIATES PROVIDING SERVICES FOR
IMPLEMENTATION OF EU PROJECT ACTIONS IN WHICH PPA S.A. PARTICIPATES**

Piraeus, Greece

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1. INTRODUCTION

Piraeus Port Authority S.A. (PPA)

PPA is the legal entity which is responsible for managing and running the Port of Piraeus. It was set up as a body governed by public law by Law 4748/1930, which was then recast by Emergency Law 1559/1950 and ratified by Law 1630/1951 and then subsequently amended and supplemented. In 1999 PPA was transformed into a societe anonyme.

In April 2016, after an open public tender procedure, the Hellenic Republic Asset Development Fund (HRADF) as the main shareholder in PPA, and COSCO HK Ltd entered into a Share Purchase Agreement for it to acquire the majority stake in PPA's share capital. In August 2016 PPA stopped being a publicly-owned company and since then has been a private company whose purpose is to perform its obligations, conduct its business and exercise its powers in accordance with the amended concession agreement between the Greek State and PPA, which was ratified by Law 4404/2016.

Based on the priorities set out in the Strategic Plan, PPA considers its presence and action in the R&D sector to be particularly important. Through the synergies it develops with Greek and foreign operators, PPA participates in the development of new technological applications and innovative processes that create new horizons for development and optimised operation, ensuring the competitiveness and inclusiveness of the Port of Piraeus in the decision-making processes.

2. THE CALL ISSUER - SCOPE OF CALL FOR EXPRESSIONS OF INTEREST

2.1. The Call Issuer

PPA is the **call issuer**.

Applications should be submitted to the following address:

Piraeus Port Authority S.A.
10 Akti Miaouli St., Procurement Department Secretariat
Office 212, 1st floor, Piraeus, Greece GR-18538

2.2. Scope of the Call for Expressions of Interest

Piraeus Port Authority S.A. is interested in preparing a new register of external associates to implement actions which are part of EU co-financed projects.

Interested parties may apply to be included in the Register which will be prepared for one or more of the following categories of projects, provided that they meet the criteria set out in the call. The categories of services are:

1. Managing technical consultant services and monitoring transnational projects.
2. Preparation and implementation of studies on issues of enhancing maritime safety and infrastructure (natural disasters, cyber attacks), risk forecasting, prevention and mitigation. Design and implementation of relevant pilot tests and evaluation.
3. Preparation and implementation of studies on environmental protection and improvement, including the marine environment, as well as forecasting and prevention of environmental risks. Design and implementation of relevant pilot tests and evaluation.
4. Preparation and implementation of studies and infrastructure projects on transport systems, logistics and multimodality in transport – improved movement of goods and sustainable mobility. Design and implementation of relevant pilot tests and evaluation.
5. Preparation and implementation of studies on alternative fuels. Design and implementation of relevant pilot tests and evaluation.
6. Design of operating and IT systems (big data bases, cloud and interconnectivity services, etc.). Design and implementation of relevant pilot tests and evaluation.
7. Supply and installation of IT and other equipment
8. Communication and promotion of results
9. Auditing

2.3. Eligible participants

Natural and legal persons who meet the following criteria are eligible to participate in the procedure:

- i. They are in one of the existing legal forms (sole trader enterprises, limited or general partnerships, limited liability companies, societies anonymes, not-for-profit civil associations, etc.).
- ii. They have proven experience in providing services and implementing actions in the above sectors for which entry in the Register is requested.
- iii. They met the financial criteria set out in paragraph 6.2 hereof.

Note:

Interested parties may submit their application (see Annex I) in a dossier for one or more of the above sectors. To this end, Applicants must clearly state which category(s) they are submitting the relevant supporting documents for in accordance with the specimen attached hereto.

N.B:

1. This Call for Expressions of Interest does not constitute a procurement process stage in its own right.

2. External Associates will be selected using the evaluation procedure referred to in detail in Article 4 hereof.
3. Candidates who meet the necessary criteria will be entered in the Register and thereafter will be deemed to have the necessary qualifications to provide their services in those sectors.
4. Interested parties should have the necessary experience in line with the area of specialisation requested, for which they are requesting inclusion in the register, and to that end should submit relevant contracts or performance certificates for projects which have been carried out in the previous decade.
5. External Associates who are included in the Register will be subject to periodic evaluation including but not limited to:
 - I. the quality of services provided
 - II. speed and reliability.

PPA reserves the right to delete those persons who do not comply with the periodic evaluation criteria from the register of external associates (Annex 3 / Table A or Table B).

6. This Call for Expression of Interest has been prepared in accordance with the principles of transparency, equal treatment and non-discrimination.
7. For the purposes of implementing projects which it manages, PPA intends to use external associates with whom it will sign a contract for work in each case, after submitting and evaluating tenders which will be requested by them based on the needs of the projects from time to time.
8. External associates will be entitled to implement projects only in the areas of specialisation for which they have been approved.
9. The call will remain open and posted on PPA's website. Interested parties who were unable to submit applications for inclusion in the Register of External Associates for Co-Financed EU Projects may submit a request which will be examined in the next update to the Register which will take place at a later point in time (no more than 6 months hence) following a decision of the competent PPA body.

2.4. Deadline for obtaining supporting documents for the Call for Expressions of Interest - Provision of clarifications

Interested parties may obtain additional information or clarifications about this Call for Expressions of Interest by submitting their questions in writing up to 5 working days before the deadline for submitting applications. Questions are to be submitted to the PPA Procurement Department, by fax to 2104550187 or by email to: procurement@olp.gr and cc-ed to euprojects@olp.gr

After that deadline expires, no other communication or request for clarifications about any terms will

be accepted. PPA will send written responses to all interested parties up to 3 working days prior to the deadline for submitting applications. Applicants are not permitted to request oral responses or clarifications from PPA.

The deadline for submitting applications ends on 27 October 2020 at 16:00 Greek time .

After that deadline elapses, any applications for inclusion in the Register of External Associates for EU Co-Financed Projects will be examined in the next update to the Register which will take place at a later point in time (no more than 6 months hence) following a decision of the competent PPA body.

3. DEFINITIONS

To ensure the terms used in this Call for Expression of Interest are understandable, definitions are given below for those terms:

1. **The Call Issuer:** The company with the corporate name Piraeus Port Authority S.A.
2. **Authorised Representative:** The applicant's legal representative (according to the applicant's Articles of Association or deed of incorporation) or specially authorised representative (by decision of the applicant's competent body) as appropriate, with the power to bind the applicant and to sign and submit the applicant's application.
3. **Solemn Declaration:** A solemn declaration as per Law 1599/1986 or in the case of a foreign Applicant, a document in similar form, in accordance with the provisions of his/its country of origin, signed by the Authorised Representative. In that case the term "solemn declaration" shall mean that it bears attestation of the authenticity of the signatory's signature.
4. **Call for Expressions of Interest:** This document.
5. **Applicant:** The natural person who owns a sole trader enterprise or the legal persons/companies participating in the process of creating this register by submitting an application in the context of this Call for Expressions of Interest.
6. **Central Protocol Office:** The PPA Central Protocol Office located at PPA's facilities at 10 Akti Miaouli St., Piraeus, Greece.
7. **External Associate** means the eligible Applicant who will be accepted in this Register.

8. **Application:** The application to be submitted by the Applicants in the context of this Call for Expressions of Interest, which must be accompanied by the Participation Supporting Documents dossier, which is included in Annex 1 hereof.

9. **Register of external associates for implementing EU project actions which PPA S.A. participates in** means the group of candidates which have been evaluated by PPA S.A. in accordance with the procedures set out in this Call for Expressions of Interest, who have been declared eligible contractors, who offer high quality services, have and operate in accordance with the highest professional integrity.

4. OBLIGATIONS OF EXTERNAL ASSOCIATES

External associates shall be obliged to notify PPA of the following:

- 4.1. Changes to the chamber declared during the registration process
- 4.2. Updates in the company's active status as shown in the Taxisnet register
- 4.3. Changes to the impediments referred to in the Law 1599/1986 Solemn Declarations referred to in paragraph 7.1 of this Call
- 4.4. Any changes to the matters declared during the registration process for the Register

5. SUBMISSION OF SUPPORTING DOCUMENTS

PPA is entitled at its unfettered discretion to cancel or repeat this process in any stage as it sees fit.

5.1. Supporting documents

Supporting documents must be submitted in one sealed dossier (the expression of interest dossier) which must be accompanied by the application referred to in Annex 1.

The application must clearly state:

- The phrase "Expression of Interest application"
- The title of the Call for Expressions of Interest entitled "Register of External Associates to implement actions for EU projects which PPA S.A. participates in".
- The particulars of the Applicant and the Authorised Representative (name/surname, corporate name and title, a) phone number, b) fax number, c) corporate email address).
- The category(s) of the Call for Expressions of Interest for which the Applicant is submitting the Application (see Table 1 of Annex 3).

By submitting the application, the Applicant declares that the information submitted is correct and true.

5.2. Submission of Applications

- 5.2.1. Applicants are responsible for sending the application and sealed dossier to PPA. The application dossier must be submitted by the applicant himself or his/its authorised

representative. Applicants are responsible for -and assume the risk of- any event occurring, including force majeure, which could result in late or improper submission of the application and dossier.

- 5.2.2. The application and supporting documents dossiers will be received by or sent to the PPA Procurement Department Secretariat by recorded delivery no later than 27 October 2020.

6. ON / OFF SELECTION CRITERIA

6.1 Qualitative Selection Criteria

Each Candidate participating in the tender procedure is obliged to have the following professional qualifications on pain of disqualification:

1. It has not been declared bankrupt, entered liquidation, suspended operations, been placed under court administration, entered a composition in bankruptcy, ceased operations or entered any similar situation under any similar procedure, nor is part of any proceedings to be declared bankrupt or placed in compulsory liquidation or enter a composition in bankruptcy or in any similar situation (restructuring, etc.).
2. The administrators in the case of a limited or general partnership, private companies and limited liability companies, the Chairman and Managing Director/CEO in the case of a société anonyme, the natural persons exercising management functions in all other cases must not have been convicted on the basis of a final judgement for:
 - a) participation in a criminal organisation as defined in Article 2 of Council Framework Decision 2008/841/JHA of 24 October 2008 on the fight against organised crime;
 - b) corruption as defined in Article 2(1) of Council Framework Decision 2003/568/JHA of 22 July 2003 on combating corruption in the private sector;
 - c) fraud, within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests;
 - d) terrorist offences or offences linked to terrorist activities, as defined respectively in Articles 1 and 3 of Council Framework Decision 2002/475/JHA of 13 June 2002 on combating terrorism, or inciting, aiding or abetting, and attempting a crime, as defined in Article 4 thereof;
 - e) money laundering or terrorist financing as defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing;

f) child labour and other forms of human trafficking as defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council of 5 April 2011 on preventing and combating trafficking in human beings and protecting its victims, and replacing Council Framework Decision 2002/629/JHA;

g) misappropriation, fraud, extortion, forgery, false testimony, bribery, fraudulent bankruptcy, in accordance with the provisions of the Hellenic Penal Code or crimes similar to their specific nature, in accordance with the provisions of any foreign legal orders.

3. They must have discharged their obligations relating to payment of social security contributions in accordance with the applicable Greek law (in the case of Greece, or abroad but which is subject to the relevant obligation in Greece) or in accordance with the law of the country in which they are established.
4. They must have discharged their tax obligations in accordance with applicable Greek law (in the case where they have tax obligations in Greece) or in accordance with the law of the country where they are established.
5. They must have never committed a disciplinary offence which is sanction by deprivation of the right to participate in tender procedures (public works tenders).
6. The company itself (or any other legal or natural person associated directly or indirectly with it in a manner which has an actual connection with it which could negatively impact on implementation of the Project) must not have been expelled in any manner or no similar penalty must have been imposed on it (such as seizure of a bond, declaration of the party in forfeit of the contract, termination of the contract) from a construction or other type of project, and in particular -but not exclusively- of PPA or another company associated with PPA S.A.

6.2 _Financial and Economic Capacity (ON/OFF) Criteria

On pain of disqualification, each tenderer must meet the following financial criteria:

- i. It must have positive equity and profits over the last 3 years.
- ii. It must have a turnover over the last 3 years cumulatively greater than or equal to € 100,000.

6.3 Technical Skill (ON/OFF) Criteria

Each Candidate who participates in the Tender Procedure is obliged upon penalty of disqualification for the Tenderer to have proven experience in providing services and implementing actions in the said sectors (Annex 2).

7. PARTICIPATION SUPPORTING DOCUMENTS FOR INCLUSION IN THE REGISTER **(upon penalty of disqualification)**

7.1. Qualitative Selection Documentation

Each Candidate participating in the tender procedure is obliged to prove that it has the qualifications referred to in Article 6.1 by submitting originals or lawfully attested copies or ordinary, clear and legible photocopies (of which validity will be stated in a solemn declaration from the legal representative ¹) of the following valid supporting documents and paperwork:

7.1.1. A Solemn Declaration of the legal representative stating that the company has not been declared bankrupt, entered liquidation, suspended operations, been placed under court administration, entered a composition in bankruptcy, ceased operations or entered any similar situation under any similar procedure, nor is part of any proceedings to be declared bankrupt or placed in compulsory liquidation or enter a composition in bankruptcy or in any similar situation (restructuring, etc.).

7.1.2. A solemn declaration from the legal representative stating that the administrators in the case of a limited or general partnership, private companies and limited liability companies, the Chairman and Managing Director in the case of a société anonyme, the natural persons exercising management functions in all other cases have not been convicted on the basis of a final judgement for:

- a) participation in a criminal organisation as defined in Article 2 of Council Framework Decision 2008/841/JHA of 24 October 2008 on the fight against organised crime;
- b) corruption as defined in Article 2(1) of Council Framework Decision 2003/568/JHA of 22 July 2003 on combating corruption in the private sector;

¹ A solemn declaration of the Tenderer's legal representative stating that all certificates or other supporting documents included in the participation documents dossier are true copies of the original documents (or copies issued electronically through the website of the issuing Authority) and accurate, and assuming an obligation to present the original documents in case it is nominated as contractor.

- c) fraud, within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests;
- d) terrorist offences or offences linked to terrorist activities, as defined respectively in Articles 1 and 3 of Council Framework Decision 2002/475/JHA of 13 June 2002 on combating terrorism, or inciting, aiding or abetting, and attempting a crime, as defined in Article 4 thereof;
- e) money laundering or terrorist financing as defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing;
- f) child labour and other forms of human trafficking as defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council of 5 April 2011 on preventing and combating trafficking in human beings and protecting its victims, and replacing Council Framework Decision 2002/629/JHA;
- g) misappropriation, fraud, extortion, forgery, false testimony, bribery, fraudulent bankruptcy, in accordance with the provisions of the Hellenic Penal Code or crimes similar to their specific nature, in accordance with the provisions of any foreign legal orders.

7.1.3. A solemn declaration from the legal representative stating that:

- a) They have discharged their obligations relating to payment of social security contributions in accordance with the applicable Greek law (in the case of Greece, or abroad but which is subject to the relevant obligation in Greece) or in accordance with the law of the country in which they are established.
- b) They have discharged their tax obligations in accordance with applicable Greek law (in the case where they have tax obligations in Greece) or in accordance with the law of the country where they are established.
- c) They have not committed a disciplinary offence for which a penalty was imposed which deprives them of the right to participate in tender procedures.
- d) The company itself (or any other legal or natural person associated directly or indirectly with it in a manner which has an actual connection with it which could negatively impact on implementation of the supply) has not been expelled in any manner or no similar penalty has been imposed on it (such as seizure of a bond, declaration of the party in forfeit of the contract,

termination of the contract) from a construction or other type of project, and in particular -but not exclusively- of PPA or another company associated with PPA S.A.

7.1.4. Proof of registration with a Chamber

7.1.5. Copies of documents demonstrating the establishment, legal status, management and legal representatives of the enterprise (Articles of Association, copy of the enterprise's active status from the Taxisnet register).

7.2. Financial and Economic Capacity documents

Each Tenderer is obliged to prove that it has the qualifications cited in paragraph 6.2. by submitting originals or lawfully attested copies or simple, clear and legible photocopies (to confirm the validity of the validity by means of a solemn declaration) of the following valid supporting documents and paperwork:

- Financial statements for the years 2019, 2018 and 2017 lawfully published for all Tenderers – legal entities required by law to publish financial statements. Candidates who are not under such an obligation must submit a copy of the E3 form for the last 3 years for inspection.

7.3. Technical Capacity documents

Each Tenderer is obliged to prove that it has the qualifications cited in paragraph 6.3. by submitting originals or lawfully attested copies or simple, clear and legible photocopies (to confirm the validity of the validity by means of a solemn declaration) of the following supporting documents and paperwork:

- A presentation of the enterprise and/or a CV for freelance professionals, showing the ability to provide the services requested in good time and in an effective manner, accompanied by similar contracts and/or performance certificates for projects which have been carried out in the previous decade. Performance certificates and confirmations must be signed by the client's authorised legal representative.

8. SUPPLIER EVALUATION PROCEDURE AFTER INCLUSION IN THE REGISTER

After inclusion in the register, persons entered in the register shall be periodically evaluated every 2 years as follows:

- Persons entered in the Register who implement or have implemented a contract in the period from the previous evaluation shall be evaluated in accordance with the criteria in Table A of Annex 3.
- After inclusion in the register, persons in the register who have NOT implemented a contract in the period from the previous evaluation shall be evaluated in accordance with the criteria in Table B of Annex 3.

9. LANGUAGE OF THE PROCEDURE

- 9.1 The languages of the procedure are Greek and English and all information and all documents from the Contractor to the Awarding Authority may be drawn up either in Greek or English or accompanied by a lawful Greek or English translation.
- 9.2 Moreover, all written and oral communications between the Tenderers and the Tender Committee shall be done in Greek or English.
- 9.3 PPA S.A. may provide, upon request, an unofficial English translation of this Call only for reference. In case of a dispute, the Greek text shall take precedence and shall be binding.

10. LEGAL FRAMEWORK

The conduct of the procedure, implementation of contracts overall and obligations of candidate contractors shall be governed by:

- Law 4404/2016 (Government Gazette 126/A/8.7.2016) and the “Concession Agreement on Use and Exploitation of certain Areas and Assets within the Port of Piraeus” dated 24 June 2016, as same was ratified by Article 1 of that Law, including any annexes attached thereto, which was entered into between the Hellenic Republic and PPA SA. By virtue of that agreement the Hellenic Republic granted PPA SA an exclusive right to hold, use, manage, maintain, improve and exploit the assets conceded to it, in accordance with the terms of the agreement.
- The National Regulatory Framework.
- PPA S.A.’s Works, Services and Supplies Regulations, as in force from time to time.

Piraeus, October 2020

ANNEX 1 (FOR SUBMISSION OF EXPRESSIONS OF INTEREST)

TO: Piraeus Port Authority S.A.

<u>APPLICATION</u>

**SUBJECT: “Expression of Interest
Application”**

Corporate name:

.....
.....
.....
.....

Address:

.....

Tel.:

e-mail:

Name/Surname of representative:

.....
.....

Capacity:

We declare that we fully and unreservedly accept the terms of this call.

Piraeus, / /2020

The Applicant and declarant

Attachments: 1 sealed “Expression of interest” dossier.

ANNEX 2 (CATEGORIES)

TABLE 1

No.	Subject matter / Categories of interest	(Y/N) (Y=YES, N=NO)	PROJECT TITLE / PROGRAMME	Contract or performance certificate (attached)
1	Managing technical consultant services and monitoring transnational projects.			
2	Preparation and implementation of studies on issues of enhancing maritime safety and infrastructure (natural disasters, cyber attacks), risk forecasting, prevention and mitigation. Design and implementation of relevant pilot tests and evaluation.			
3	Preparation and implementation of studies on transport systems, logistics and multimodality in transport – improved movement of goods and sustainable mobility. Design and implementation of relevant pilot tests and evaluation.			
4	Preparation and implementation of studies on environmental protection and improvement, including the marine environment - forecasting and prevention of environmental risks. Design and implementation of relevant pilot tests and evaluation.			
5	Preparation and implementation of studies on alternative fuels. Design and implementation of relevant pilot tests and evaluation.			
6	Design of operating and IT systems (big data bases, cloud and interconnectivity services, etc.). Design and implementation of relevant pilot tests and evaluation.			
7	COMMUNICATION AND PROMOTION OF RESULTS			
8	SUPPLY AND INSTALLATION OF I.T. AND OTHER EQUIPMENT			
9	AUDITING			

TABLE 2

No.	Table of projects demonstrating experience in the field of specialisation (Project title/date)	Area of Specialisation
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
18	A CV / COMPANY PROFILE is also submitted.	
CV, COMPANY PROFILE (ATTACHED)		

ANNEX 3 (PERIODIC EVALUATION)

TABLE A. EVALUATION OF PERSONS IN THE REGISTER WHO ARE IMPLEMENTING OR HAVE IMPLEMENTED PPA CONTRACTS

Evaluation criteria for persons entered in the register of external associates to implement EU project actions which PPA participates in, who have implemented contracts for PPA or are currently implementing a contract with PPA during the last two years.

No	CRITERIA	YES	NO	PARTIALLY	COMMENTS
A.1	Submission of registration with the same chamber of commerce declared when entered in the Register.				
A.2	Proof of the enterprise's active status as shown in the Taxisnet register.				
A.3	Submission of a solemn declaration in the format required by Article 8(2) of Law 1599/1986 in which the Candidate confirms that there has been no change in the impediments referred to in paragraph ... of the initial solemn declaration submitted when entered in the Register.				
A.4	Submission of proof of proper performance of the services provided, proven by the periodic delivery reports.				
A.5	Reason for payment of financing for the European programme (Financing from the EU up to the evaluation date for the specific contract / Contractual price drawn down by PPA) in accordance with the terms of the contract. (Where applicable)				
A.6	Collaborative relations with PPA – Follow-on support.				
A.7	Communication – Behaviour.				

TABLE B. EVALUATION OF PERSONS IN THE REGISTER WHO HAVE NOT IMPLEMENTED CONTRACTS

Evaluation criteria for persons in the register of external associates to implement EU projects actions which PPA participates in, to whom no contract has been awarded during the evaluation period.

No	CRITERIA	YES	NO	PARTIAL Y	COMMENTS
B.1	Submission of registration with the same chamber of commerce declared upon entry in the Register, which is in effect.				
B.2	Proof of the enterprise's active status as shown in the Taxisnet register.				
B.3	Submission of a solemn declaration in the format required by Article 8(2) of Law 1599/1986 in which the Candidate confirms that there has been no change in the impediments referred to in paragraph ... of the initial solemn declaration submitted when entered in the Register.				
B.4	Number of participations in calls for tenders during the last two years.				

